

AMERICAN SADDLEBRED REGISTRY 2011 NATIONAL THREE-YEAR-OLD FUTURITY

GENERAL PROVISIONS

DIVISIONS AND HOW SHOWN – Beginning in 2012, the American Saddlebred Registry National Three-Year-Old Futurity will be held in five divisions: FIVE GAITED, THREE GAITED, FINE HARNESS, THREE GAITED PARK and THREE GAITED PARK PLEASURE (mares and geldings only). **Entries may compete in only one section.** The classes are conducted under the rules of the U.S. Equestrian Federation. For classes held at the Kentucky State Fair, refer to the Kentucky State Fair prize list.

DECLARATION OF INTENT TO SHOW – A signed Declaration of Intent to Show and a \$50.00 starting fee payment for each entry must be made to the American Saddlebred Registry Futurity Secretary by the deadline date as determined for such payment. All starting fees will be added to the purse for the appropriate class.

NO ENTRY WILL BE ALLOWED TO SHOW UNLESS THE DECLARATION OF INTENT TO SHOW HAS BEEN FILED AND THE FEE PAID BY THE DEADLINE.

DIVISION OF CLASSES – The FINE HARNESS class shall be divided as equally as possible into two sections, if 16 or more declarations and fee payments have been filed with the American Saddlebred Registry Futurity Secretary by the deadline date. FIVE GAITED, THREE GAITED, THREE GAITED PARK and THREE GAITED PARK PLEASURE classes must be divided as equally as possible into two sections, if 25 or more declarations and fee payments have been filed with the American Saddlebred Registry Futurity Secretary by the deadline date. When classes have been divided, the division of entries will be posted in the horse show office and also announced.

No more than 15 entries will be selected from the FINE HARNESS sections, and no more than 20 entries from the FIVE GAITED, THREE GAITED, THREE GAITED PARK and THREE GAITED PARK PLEASURE sections will be selected to show in a final class to be held at a morning, afternoon or evening performance, at least two days following the preliminary sections. **ENTRIES ARE TO BE STRIPPED AND JUDGED ON CONFORMATION IN BOTH THE QUALIFYING CLASS AND THE FINAL CLASS.** All prize money will be awarded in the final class.

PLEASE NOTE THE FOLLOWING CHANGES TO THE JUDGING SPECIFICATIONS FOR ENTRIES IN THE AMERICAN SADDLEBRED REGISTRY NATIONAL FUTURITY.

These changes were effective March 1, 2004.

SHOWING PROCEDURE FOR FINE HARNESS ENTRIES:

Pursuant to U.S. Equestrian Federation Rule: Exhibited at an animated walk; park trot (speed to be penalized) and “show your horse,” to stand quietly. *Judged on performance, conformation, presence, quality, and manners.*

SHOWING PROCEDURE FOR FIVE GAITED AND THREE GAITED ENTRIES:

Pursuant to U.S. Equestrian Federation Rule: *Judged on performance, conformation, presence, quality, and manners. Entries are to be stripped. (Refer to Rules SB102 and SB105.)*

SHOWING PROCEDURE FOR PARK PLEASURE ENTRIES:

Pursuant to U.S. Equestrian Federation Rule: Shown at a flat walk, trot, and canter. Horses must stand quietly and back readily in the lineup. *Judged on manners, performance, conformation, presence, and quality. Entries are to be stripped.*

ELIGIBILITY – All horses shown must be the produce of stallions and mares that have been nominated to the American Saddlebred Registry National Three-Year-Old Futurity. Any nomination described in these rules may be made by the stallion owner, the mare owner, the owner of the foal, or their authorized agents. IT IS THE RESPONSIBILITY OF THE MARE NOMINATOR TO DETERMINE WHETHER THE SIRE OF THE FOAL HAS BEEN PROPERLY NOMINATED.

SIRE NOMINATION – To nominate a stallion to the American Saddlebred Registry National Three-Year-Old Futurity, it is necessary:

- 1) That the stallion be registered with the American Saddlebred Registry, the ASHA of Canada or any foreign registry approved by ASR. If registered with the ASHA of Canada or an approved foreign registry, a copy of the stallion’s registration certificate must be submitted with the nomination.
- 2) That a fee of \$100.00 be paid to the American Saddlebred Registry National Three-Year-Old Futurity on or before April 15 each year a foal is born; however, a stallion nomination will be accepted if the fee is received in the American Saddlebred Registry office no later than the close of business on May 15 of such year.

DAM NOMINATION – To nominate a mare to the American Saddlebred Registry National Three-Year-Old Futurity, it is necessary:

- 1) That the mare be registered with the American Saddlebred Registry, the ASHA of Canada or any foreign registry approved by ASR. If registered with the ASHA of Canada or an approved foreign registry, a copy of the mare’s registration certificate must be submitted with the nomination.
- 2) That a fee of \$25.00 be paid to the American Saddlebred Registry National Three-Year-Old Futurity on or before April 15 each year a foal is born.

NOTE: In the year of nomination, the donor mare must be nominated for each foal/embryo that she will produce that year. A \$25.00 dam nomination fee, per foal/embryo, will be due April 15. In the event a mare foals, producing twins, after the nomination deadline, the owner must notify the Registry within 30 days of foaling to nominate the mare and pay the nomination fee for the second foal; however, the mare must have been nominated for one foal by the original deadline.

WEANLING ELIGIBILITY – To keep a weanling eligible to show in the American Saddlebred Registry National Three-Year-Old Futurity, the following conditions must be fulfilled:

- 1) The sire and dam must be nominated to the American Saddlebred Registry National Three-Year-Old Futurity by April 15 of the year in which the foal is born.
- 2) A weanling fee of \$50.00 must be paid to the American Saddlebred Registry National Three-Year-Old Futurity on or before June 15 of the year the foal is born.
NOTE: A weanling fee of \$50.00 must be paid for each foal/embryo produced by a nominated donor mare in order to maintain eligibility for any of the foals/embryos.
- 3) The foal must be registered (or application received in the American Saddlebred Registry office) by December 31 of the foaling year. **Pending applications (including DNA testing) must be completed before March 31 of the yearling year.**

YEARLING ELIGIBILITY – To keep a yearling eligible to show in the American Saddlebred Registry National Three-Year-Old Futurity, the following conditions must be fulfilled:

- 1) The horse must have been nominated as a weanling, and all conditions and requirements must have been fulfilled.
- 2) One yearling payment (\$50 if received by Jan. 31 OR \$75 if received after Jan. 31 and prior to April 15 of the year the foal becomes a yearling) must be paid to the American Saddlebred Registry Three-Year-Old National Futurity.
- 3) **At the time of the January 31 yearling payment, the owner of any foal produced from a nominated mare with multiple foals must declare whether such owner’s foal/embryo is to be kept eligible.**

TWO-YEAR-OLD ELIGIBILITY – To keep a two-year-old eligible to show in the American Saddlebred Registry National Three-Year-Old Futurity, the following conditions must be fulfilled:

- 1) The horse must have been nominated as a weanling, and all conditions and requirements for a weanling and yearling must have been fulfilled.
- 2) ONE two-year-old payment (\$50.00 if received by January 31 OR \$100.00 if received after January 31 and prior to April 15 of the year the foal becomes a two-year-old) must be paid to the American Saddlebred Registry Three-Year-Old National Futurity.

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THREE-YEAR-OLD ELIGIBILITY – To keep a three-year-old eligible to show in the American Saddlebred Registry National Three-Year-Old Futurity, the following conditions must be fulfilled:

- 1) The horse must have been nominated as a weanling, and all conditions and requirements for a weanling, yearling and two-year-old must have been fulfilled.
- 2) ONE three-year-old payment (\$50.00 if received by January 31 OR \$150.00 if received after January 31 and prior to April 15 of the year the foal becomes a three-year-old) must be paid to the American Saddlebred Registry Three-Year-Old National Futurity.

REGISTRATION – All horses must be registered (or application received in the American Saddlebred Registry office) by December 31 of the foaling year in order to maintain eligibility. **To continue eligibility, pending applications must be completed before March 31 of the yearling year, i.e., results of blood typing or DNA testing must be received in the American Saddlebred Registry office before March 31.**

KENTUCKY STATE FAIR ELIGIBILITY – Three-year-olds that are being shown in any division of the American Saddlebred Registry National Three-Year-Old Futurity must be entered properly on printed forms furnished by the Kentucky State Fair. Prize lists, which include entry forms, will be mailed in early May. If you do not receive a prize list, please contact Carrie Schueler at 502/367-5300, e-mail to cschueler@ksfb.ky.gov, or write to **Entry Department, Kentucky State Fair, P. O. Box 37130, Louisville, Kentucky 40233. Beginning in May, entry blanks for the 2011 Kentucky State Fair will be available for downloading from the Kentucky State Fair web site, www.kystatefair.org.**

PRIZE FUND – The value of the prize fund of the American Saddlebred Registry National Three-Year-Old Futurity each year shall be the total amount of money paid in fees, miscellaneous funds, added donations, and interest, less administrative costs.

Distribution of Prize Money – SIRE/DAM PURSES:

\$5.00 received from each April 15 mare nomination fee paid and \$5.00 from each January 31 yearling, two-year-old and three-year-old fees paid will be put into a purse to be paid to the owners (at the time of the original nomination) of the sires and dams of the first and second place three-year-olds, as follows:

- 1/5 purse to the FIVE GAITED class – 1st, one-third, and 2nd, one-sixth;
- 1/5 purse to the THREE GAITED class – 1st, one-third, and 2nd, one-sixth;
- 1/5 purse to the FINE HARNESS class – 1st, one-third, and 2nd, one-sixth;
- 1/5 purse to the THREE GAITED PARK PLEASURE class – 1st, one-third, and 2nd, one-sixth.
- 1/5 purse to the THREE GAITED PARK class – 1st, one-third, and 2nd, one-sixth.

NOTE: If the owner (or owner's agent) of the sire or dam at the time of original nomination did not pay the sire or dam nomination fee, any sire or dam prize money shall go to the person who actually paid the original nomination fee.

Distribution of Prize Money – OWNER PURSES:

The remaining prize money is paid to the owners of the winning entries at the time of the Futurity and is divided equally among the FIVE GAITED, THREE GAITED, FINE HARNESS, THREE GAITED PARK and THREE GAITED PARK PLEASURE divisions. In the case of a leased horse, written authorization from the current owner will be required to release the prize money to the lessee. In each division, each winner will receive 50% of the prize fund for that division; 2nd, 25%; 3rd, 12.5%; 4th, 7.5%; 5th, 3%; and 6th, 2%.

Should there be fewer than six entries showing, the entries that do show will share the remainder of the prize fund in the same proportion designated to the place they have won. The total prize fund each year will be paid out, regardless of the number shown. American Saddlebred Registry reserves the right to cancel a class if there are no entries. If a class is cancelled, the prize fund will be redistributed among the classes that do show.

U.S. EQUESTRIAN FEDERATION DRUG TESTING – All American Saddlebred Registry futurity classes are subject to U.S. Equestrian Federation drug-testing procedures. See U.S. Equestrian Federation Chapter 4, GR401-GR413 governing "Drugs & Medications." Markings verification of all ribbon winners will be required. If an entry has been disqualified by American Saddlebred Registry, U.S. Equestrian Federation or the horse show where the horse has competed, the owner shall forfeit and return all premium money, trophies and ribbons upon notification.

An IRS form 1099 will be sent to all money winners.

PAYMENT OF FEES – Payments can be made by check, money order, Visa, MasterCard, or AMEX. A \$25.00 service charge will be assessed on any returned checks and added to the total due. All payments must be made to the American Saddlebred Registry Futurity and mailed to 4083 Iron Works Parkway, Lexington, Kentucky 40511, or faxed to 859/259-1628, on or before the dates herein specified, or bear U.S. postmark before midnight of such dates. In the event a closing date falls on a Sunday or legal holiday, postmark bearing the date of the following day will be accepted.

NOTE: U.S. Postal Service postmark supersedes a postage meter date.

GRACE PERIOD – TEN CALENDAR DAYS AFTER PAYMENT DUE DATE.

LIABILITY – No entry is liable for more than the amount paid in, but there will be no return of payment. The right is reserved to reject any entry and bar any entry from competition for failure to comply with the conditions of this futurity.

IF A PREVIOUSLY NOMINATED HORSE IS SOLD, IT IS THE SELLER'S RESPONSIBILITY TO NOTIFY THE NEW OWNER OF PAYMENT DUE DATES.

NOTICE – AGENTS AND/OR OWNERS MAKING ENTRY IN THIS FUTURITY ARE RESPONSIBLE FOR MAKING PAYMENTS WHEN DUE. **THE American Saddlebred Registry NATIONAL THREE-YEAR-OLD FUTURITY ASSUMES NO RESPONSIBILITY FOR REMINDING PARTICIPANTS OF PAYMENT DATES.**

For future reference, keep a copy of all nominations and rules.

**ALL NOMINATORS MUST BE MEMBERS OF ASHA.
ALL OWNERS/TRAINERS PARTICIPATING AT THE 2011 KSF WORLD'S CHAMPIONSHIP HORSE SHOW
(INCLUDING AMERICAN SADDLEBRED REGISTRY FUTURITIES) MUST BE MEMBERS OF ASHA.**

KEEP COPY FOR YOUR RECORDS