

AMERICAN SADDLEBRED REGISTRY, INC.

**Board of Directors Meeting
October 25, 2020 at 5:00 p.m. ET
at Spy Coast Farm Education Center, Lexington, Kentucky and by Zoom**

MINUTES

ASR Directors Present: Sandra Currier President, Bob Brison, Elisabeth Goth, Todd Graham, Larry Hartsock, Missy Hughes Smith, Anna Marie Knipp, Emily Lee, Donna Pettry-Smith, Kim Skipton, Jenny Taylor and Owen Weaver.

Others Present: David Mount ASHA Executive Director, Lisa Duncan Registrar, Katriona Adams Assistant Registrar, Patricia Edwards Prize Program Manager and guests Kathie Dunn Chair of the Equine Welfare Advisory Committee and Fred Sarver Chair of the Registry Committee.

I. Roll Call/Establish Quorum/Adopt Sturgis Rules of Order/Consider Agenda

Ms. Currier called the meeting to order and Ms. Duncan called the roll. A quorum was present. Ms. Goth made a motion to adopt Sturgis Rules of Order, Ms. Knipp seconded and the motion passed. Ms. Knipp made a motion to adopt the agenda, Ms. Goth seconded and the motion passed.

II. Registry Committee Proposals

a. Membership in Association Rule Change Proposal

Mr. Sarver explained a proposed rule change to the Membership in Association rule. After a lengthy discussion, the board agreed to refer this matter back to the Registry Committee.

b. Eliminate fee for cancellation of certificates

Mr. Sarver explained a proposal to eliminate the fee to cancel a registration certificate. After discussion, Mr. Graham made a motion to approve this proposal, Ms. Hughes Smith seconded and the motion passed effective immediately.

III. Treasurer's Report & Financials

a. Registry Financial Statements as of September 30, 2020

Ms. Skipton reported on the Registry Financial Statements as of September 30, 2020. She noted that our numbers are down, however, we need to remember that the office has been closed or partly staffed for several months. In addition, the funds from the payroll protection loan have not been transferred over to the registry accounts yet and a recently paid large penalty fine is not appearing on the accounts yet. Legal fees are also higher than expected.

b. Prize Program Accounting

Ms. Edwards, Prize Program Manager, noted that there were detailed financial reports regarding payouts and expenses for the ASR Futurities and Sweepstakes. A discussion

took place regarding policies and procedures and some issues with payouts this year. It was agreed that Ms. Duncan would call owners who have not cashed checks and will also discuss with the auditors. Mr. Graham asked how much it will cost to have Blue & Co review. A suggestion was made to have a Registry Director be a voting member of the Sweepstakes Committee. A Sub-Committee was appointed to put policies and procedures together for the prize programs. Members of the Sub-Committee are: Matt Shiflet, Todd Graham, Emily Lee, Jenny Taylor, Anna Marie Knipp and Sandy Currier.

c. Raymond James Investment Report – written report

Ms. Skipton noted that there is a written investment report from Raymond James in the board packets and asked Directors to let her know if they have any questions.

IV. President’s Report

a. CH for Sport Horses (Kathie Dunn)

Ms. Dunn presented several proposals to the Champion Program for Sport Horses including adding abbreviations in parenthesis to horse names reflecting the disciplines in which they showed. Detailed criteria for the Endurance and Dressage divisions were also presented as well as a proposal to extend the program to include Half Saddlebreds.

After discussion, Ms. Skipton made a motion to approve adding abbreviations in parenthesis to horse names reflecting the disciplines in which they showed, Ms. Weaver seconded and the motion was passed.

The proposed criteria for Endurance was discussed and Ms. Goth made a motion to approve the criteria with the addition of also accepting results from USEF High Performance rides since they are considered to be the current USEF national affiliate for Endurance, Ms. Skipton seconded and the motion was passed.

The proposed criteria for Dressage was discussed and Ms. Skipton made a motion to approve the criteria, Ms. Weaver seconded and the motion was passed.

The proposal to extend the Champion Program for Sport Horses to Half Saddlebreds was discussed and Ms. Goth made a motion to approve the proposal, Ms. Skipton seconded and the motion was passed.

b. Reorganization

Ms. Currier reported on recent developments regarding the reorganization. The board discussed how the Registry will be responsible for setting up the Registry Trust.

V. Registrar’s Report

a. Registry Statistics as of September 30, 2020

Ms. Duncan reported on the registry statistics as of September 30, 2020. The number of registrations processed are down by 156 compared to the same time last year, however, it was noted that the numbers in 2019 were higher due to amnesty. The number of transfers processed are down by 6 compared to this time last year.

b. 2020 Breeders' Awards

Ms. Duncan reported that a list of 2020 Breeders' Award recipients was include in the board packet.

c. Broodmare Hall of Fame 2020

Ms. Duncan reported that a list of Broodmare Hall of Fame was include in the board packet. Ms. Skipton will write the broodmare articles for the Journal.

d. USEF IT Workflow Analysis Proposal

Ms. Duncan reported that registry staff met with USEF IT staff to identify ways to make the database faster and more efficient. They provided a workflow analysis which included eight identified issues where improvements could be made. Staff would like approval for funding for the programming. After discussion Ms. Skipton made a motion to approve the proposal, Ms. Goth seconded and the motion passed.

VI. ASR Nominating Committee Report

Ms. Taylor, Chair of the ASR Nominating Committee, presented their report to nominate Kim Skipton and Julie Behrends Jones to fill the two at large seats commencing in February 2021. Mr. Graham made a motion to accept the report and nominate Ms. Skipton and Ms. Behrends Jones, Ms. Goth seconded and the motion passed.

VII. Consideration of Draft Minutes of October 12, 2020 ASR Board Meeting

Ms. Goth made a motion to approve the draft minutes of the October 12, 2020 ASR Board meeting as presented, Ms. Skipton seconded and the motion passed.

VIII. Executive Session

Mr. Graham made a motion to go into Executive Session at 7:05 p.m., Ms. Goth seconded and the motion passed.

Submitted by: *Katriona Adams*
Interim Registrar