

AMERICAN SADDLEBRED REGISTRY, INC.

Board of Directors Meeting November 30, 2020 at 4:00 p.m. ET by Zoom

MINUTES

ASR Directors Present: Sandra Currier President, Bob Brison, Elisabeth Goth, Larry Hartsock, Missy Hughes Smith, Anna Marie Knipp, Emily Lee, Matt Shiflet, Kim Skipton, Jenny Taylor and Owen Weaver.

Others Present: David Mount, ASHA Executive Director, Katriona Adams Interim Registrar and Patricia Edwards Prize Program Manager.

I. Roll Call/Establish Quorum/Adopt Sturgis Rules of Order/Consider Agenda

Ms. Currier called the meeting to order at 3:30 p.m. and Ms. Adams called the roll. A quorum was present. Ms. Skipton made a motion to adopt Sturgis Rules of Order, Ms. Weaver seconded and the motion passed. Ms. Weaver made a motion to move item 5 on the agenda up to item 2 and adopt the agenda as amended, Mr. Hartsock seconded and the motion passed.

II. ASR Futurities Recommendations

Ms. Currier and Ms. Edwards explained the following recommendations from the ASR Kentucky and National Futurities Committee and noted that if approved, all recommendations will be effective in 2021.

1. Combine the Kentucky and National Three-Year-Old Futurities into one National Futurity for weanlings, yearlings, two-year-olds and three-year-olds. Horses currently nominated to the Kentucky and National Three-Year-Old Futurities will be phased out in 2022 (KY) and 2023 (National 3YO).
2. Eliminate stallion and mare nomination fees. Weanling nominations will replace stallion and mare nominations and will be due by March 31 of the foaling year. The January 31 payment deadline will remain in place for yearlings, two-year-olds and three-year-olds.
3. Increase nomination fees for the new National Futurity and Kentucky Amateur Futurity to \$150 per year. Fees would remain at \$50 per year for horses currently nominated to the Kentucky, Kentucky Amateur and National Three-Year-Old Futurities until these programs are phased out.
4. Add a Two-Year-Old Three-Gaited Division. We will actively seek a sponsor for at least \$20,000 and offer naming rights to the Futurity to offset the cost of adding a division.
5. Add a buy-in option for yearlings and two-year-olds beginning with new nominations for the National Futurity and Kentucky Amateur Futurity. A yearling could be nominated by January 31 of the yearling year for \$1,500 and a two-year-old by January 31 of the two-year-old year for \$3,500. The existing buy-in for three-year-olds at \$5,000 will remain in place with the same January 31 deadline.

6. Add a Three-Year-Old Park Pleasure class to the Kentucky Amateur Futurity. Yearlings and two-year-olds currently nominated to Kentucky Amateur Futurity would pay a \$150 fee to be eligible to show in this class as a three-year-old.

After discussion Mr. Hartsock made a motion to approve all recommendations with the exception of Item 5 regarding a buy-in option, Ms. Taylor seconded and the motion passed. Ms. Skipton made a motion to send the buy-in recommendation back to the committee, Mr. Hartsock seconded and the motion passed.

III. Membership in Association Rule Change Proposal

Ms. Adams explained the background of the following rule change proposal regarding membership in Association, which allows non-members to do business with the Registry.

A current American Saddlebred Horse Association (“ASHA” or the “Association”) member may transact business with the Registry. A non-member of the American Saddlebred Horse Association may transact business with the Registry upon payment of a non-member transaction fee per Registry transaction. See Section XI. regarding Fees.

After discussion, Ms. Skipton made a motion to approve the rule change as presented, Ms. Weaver seconded and the motion passed.

IV. Preliminary Report from Clarity Co.

Mr. Mount reported that we have been working with a Productivity and Process Improvement consultant and she has identified two goals for the Registry – to improve customer satisfaction with improvements to how customers access and interact with the registration process and to improve staff workload to lessen the strain on any one employee and efficiency of processing applications to reduce bottlenecks in the process. The objectives are to go at least 3 months without receiving complaints related to the standard processes associated with the registration or transfer or annual reporting process and to reduce the turnaround time for processing paper. Unusual situations will not be included in this measurement.

V. Registry Committee Recommendations

Ms. Adams explained the Registry Committee Recommendations which are designed to help improve productivity in the office and to allow for better customer service. The first proposal is to eliminate the requirement for age certificates when the dam is listed on a stallion’s stallion service report for more than one breeding season, and registrations for foals resulting from those breedings have not been received by December 31 of their foaling year. We have a large number of applications for registration still pending from the 2019 amnesty as age certificates are required and are costly since the age certificate form has to be completed by a licensed veterinarian. After discussion, Mr. Hartsock made a motion to eliminate the requirements for age certificates under this rule and to make it retroactive to include all previously submitted pending applications for registration, Ms. Weaver seconded and the motion passed. It was noted that there is still a rule that allows the registry to request an age certificate on a case-by-case basis if deemed necessary.

Ms. Adams explained a rule change proposal to eliminate the requirement for breeders certificates noting this delays processing of a large number of applications for registration. After discussion, it was agreed that no action would be taken on this proposal.

The board discussed a suggestion to charge a fee to add mares to previously submitted stallion service reports as this creates delays in processing applications for registration. After discussion, Ms. Goth made a motion to add a rule change stating that “*The Registry may accept up to three amendments to a previously submitted stallion service report without imposing a penalty fee. Thereafter, a penalty fee of \$250 will be imposed for each mare added to a stallion service report per breeding season*”, Ms. Skipton seconded and the motion passed.

The board discussed the non-member fee to be charged if the applicant is not a current ASHA member. This is in accordance with the current rule that reads: A current American Saddlebred Horse Association (“ASHA” or the “Association”) member may transact business with the Registry. A non-member of the American Saddlebred Horse Association may transact business with the Registry upon payment of a non-member transaction fee per Registry transaction. The Registry Board agreed that the rule should be interpreted as written.

Ms. Currier noted that Ms. Adams has recommended adding a transfer of ownership section to the reverse of the registration certificate. It was noted that the Morgan Horse Association does this and it works very well. Ms. Knipp made a motion to approve this, Ms. Taylor seconded and the motion passed.

VI. Other Business

Mr. Mount provided an update on the merger documents and the status of the work being done by the attorney. A discussion took place regarding attorneys representing the organizations. Ms. Skipton made a motion to engage Mr. Glasscock to represent the Registry only, the motion was seconded and passed. The board discussed conflict of interest issues and agreed that all directors on both boards should sign a conflict of interest disclosure in relation to the merger.

Mr. Hartsock suggested that we revisit the prize money and look at ways to increase it, as this will encourage more breeding.

VII. Adjournment

With no other business to discuss, the meeting was adjourned.

Submitted by: *Katriona Adams*
Interim Registrar