

AMERICAN SADDLEBRED REGISTRY, INC.

**Wednesday, January 16, 2019 at 3:30 p.m. PST
UPHA National Conference
Palm Springs, California**

MINUTES

ASR Directors Present: Susan Aschenbrenner, Sandra Currier, Gail Kline, Emily Lee, Scott Matton, Donna Pettry-Smith, Karen Richardson, Matt Shiflet, Kim Skipton, Jenny Taylor and Owen Weaver.

ASHA Directors Present (who are not on the ASR Board): Candi Aversenti, Joan Lurie, David Mount and Marty Schaffel.

Others Present: Todd Graham and Missy Hughes, incoming Registry Directors, Lisa Duncan Registrar, Katriona Adams Assistant Registrar, Cynthia Lowell Communications and Tech Manager.

I. Roll Call/Establish Quorum

Ms. Currier called the meeting to order at 3:30 p.m. PST and Ms. Duncan called the roll. A quorum was present.

II. President's Report

a. Live Foal Report Update

Ms. Currier, President, reported that the live foal reports will be mailed in January and will include a cover letter. This will help us gather information on conception rates.

b. Online registrations and Stallion Service reports

Ms. Lowell reported that USEF will be working on the next phase of the Online Registrations and Stallion Service Reports which includes the financial processing. Photos will be required with online registrations.

c. Update on CH points for Working Western classes

Mr. Matton reported that the rule to add CH points for Working Western classes has been passed and has been added to the CH rules.

d. Registration and Transfer Amnesty

Ms. Currier noted that when we did the registry survey, we received a suggestion to offer another amnesty on fees. Ms. Duncan noted that transfers postmarked in the month of February will be exempt from late fees and that applications for registration postmarked in the month of March will be subject to a \$100 registration fee for all foals over 12 months of age. All other pertinent fees will

still apply. We will issue a standalone press release regarding Amnesty and send it to Bob Funkhouser to include in Saddle Horse Report.

e. Due dates for the Futurity and Sweepstakes programs

Ms. Duncan reported that the Kentucky and National Futurities Committee and the Sweepstakes Committee were in agreement with consolidating payment due dates and adding a 30-day penalty option. The grace period deadline dates have been eliminated and the 30-day, \$100 late penalty will be added to the nomination forms and rules.

g. Recommendation regarding DNA from other registries

Parentage Confirmation using DNA Results from Foreign Labs *and other Registries* \$25.00.

Ms. Duncan explained that the intent of the above proposal is to allow owners of Half Saddlebreds that are registered with another registry such as the Half Arabian Registry to use the DNA type already on file for that horse when registering with the Half Saddlebred Registry and to pay a \$25 fee instead of the \$50 fee for a new DNA kit and test. After discussion, the Board approved this change.

This led to a discussion about being more inviting to owners of Half Saddlebreds. It was agreed to appoint an Ad Hoc Committee to work to promote registering of Half Saddlebreds. Susan Aschenbrenner, Todd Graham and Kelly Budd agreed to serve on this committee.

III. Microchips

Ms. Currier suggested that we look into sending out microchips from the Registry and noted that this will not be mandatory. Ms. Duncan noted that we currently track microchip information in our database which is printed on the registration certificates. She will ask the Registry Committee to consider having the registry offer microchips.

IV. Treasurer's Report

a. Registry Financial Statements as of December 31, 2018

Ms. Skipton referred to the balance sheet and noted that current liabilities are listed as being under \$15,000 as of the end of the 2018 year, however, that has been paid now. The large discrepancy in tax preparation fees is due to the timing of receipt of the invoices. Under the Profit & Loss previous year comparison for December 2018, she noted that there was an increase in registration fee income and there was a decrease in name change income. Under the Profit & Loss previous year comparison for January through December of 2018, she noted the following items: We only received one Farm Registration in 2018, there was an increase in interest income from the Raymond James accounts, Raymond James breaks out bank service charges and shows them apart from the funds invested,

the management fee increase is primarily made up of adding Ms. Dolan, and the fee under other expense reflects the onetime fee for hiring for the Financial position. Ms. Richardson made a motion to approve the Financial Statements, Ms. Aschenbrenner seconded and the motion was passed with all in favor.

b. Raymond James Investment Report

Ms. Skipton asked Directors to provide her or Ms. Duncan with any questions regarding the Raymond James Investment Report and they will pass them along to Rob Brewer.

c. Final Audit Report

Ms. Skipton reported on the final audit report and supplementary information for December 31, 2017 and 2016. It was a good report, however, there were some delays due to an accounting software update and turnover of accounting staff. There were no issues with management. There was a significant correction to allocation of futurity and sweepstakes funds prior to 2016. These should have been recorded as a liability until such time as the prize money is awarded. Had this error been corrected prior to 2015, the Registry would have reported an increase in net assets of \$8,305 for 2015 instead of the previously reported decrease of \$21, 990. Mr. Matton made a motion to approve the Final Audit Report as presented, Ms. Aschenbrenner seconded and the motion was passed with all in favor.

V. Registrar's Report

a. 2018 Registry Statistics

Ms. Duncan reported that the number of transfers processed in 2018 is 2,713 compared to 2,730 in 2017. The number of horses registered in 2018 is 1,374 compared to 1,561 in 2017 and that includes all ages. She explained that the number of stallions reporting is a snap shot and will increase as more horses are registered. The numbers will also increase for the foal registration comparison to mares bred report as horses are registered. Approximately 800 foals are registered in their foaling years.

b. First Time Breeder Program

Ms. Duncan provided some information on the First Time Breeder Program for 2014, 2016 and 2018. In 2014 there were 52 stallions enrolled in the program which resulted in 6 foals being registered. In 2016 there were 24 stallions enrolled in the program which resulted in 6 foals being registered. In 2018 there were 25 stallions enrolled. Discussion took place regarding doing more to publicize and promote this program. Mr. Matton made a motion to have the First Time Breeders Program again in 2020, Ms. Pettry-Smith seconded and the motion was passed with all in favor. Mr. Matton made a motion to issue press releases on a bi-weekly schedule and to include stories to enhance the First Time Breeder Program, Ms. Aschenbrenner seconded and the motion was passed with all in favor.

c. 2018 ASR Sport Horse Year End Award Winners

Ms. Adams noted that the list of 2018 ASR Sport Horse Year End Award Winners was included in the meeting materials and that the awards would be presented at the luncheon the following day.

DRESSAGE DIVISION

Second Level

Champion

Sir Earl Grey

Owner: Jeffrey Winton & James
Modica
Green Oaks, IL

Reserve Champion

I'm Johnny Cash

Owner: Shannon Venegas
Elkhorn, WI

First Level

Champion

Sir Earl Grey

Owner: Jeffrey Winton & James
Modica
Green Oaks, IL

Training Level

Champion

Arrowhead's On The Prowl

Owner: Marisa Olson King
Urbandale, IA

Introductory Level

Champion

Top Dancer

Owner: Kelly Abraham
Chippewa Falls, WI

**SPORT HORSE IN HAND
DIVISION**

Champion

Top Dancer

Owner: Kelly Abraham
Chippewa Falls, WI

ENDURANCE DIVISION

Champion

If You Dare

Owner: Michelle Phillips
Knoxville, TN

EVENTING DIVISION

Champion

**Royal Crest's Granite
Permission**

Owner: Lily Callahan
Harrisburg, MO

d. Preliminary Draft 2019 Budget

Ms. Skipton explained that the proposed draft 2019 budget is conservative and noted that we would rather under promise and over deliver. Ms. Kline made a motion to approve the proposed 2019 budget, Ms. Skipton seconded and the motion was passed with all in favor.

e. Other

Ms. Skipton explained a proposal from the Robertson Equine Sales for sharing data online. They would like to be able to enter the horses' registration numbers in their database and have pertinent information autofill. Ms. Lowell reported that

staff has been looking into this and will get an estimate on the cost. It was agreed that the Board would vote on this by email.

VI. Other Business

Futurities

Ms. Taylor noted it was interesting to see the low number of exhibitors in some futurity classes. A discussion took place regarding futurities.

Reorganization

Ms. Currier noted that the 6 Directors serving on the boards of both the American Saddlebred Registry and the American Saddlebred Horse Association cannot vote on the reorganization. She reported that Ms. Werner will stay on as an advisor on the Joint Reorganization Task Force and that Mr. Matton has agreed to serve on it. No voting on the reorganization will take place this week. Ms. Richardson questioned how this conflict of interest affects voting for officers of the registry and whether it should be limited to directors who are not serving on both boards. Ms. Currier clarified that dual board members cannot vote on the reorganization. Ms. Kline agreed that we need to be certain as to who can vote and who can be elected as officers of the registry. Suggestions were made to wait and do it right. Ms. Currier will look into this and will email the answer. A suggestion was made that the Registry not have Association Directors on its board.

Outgoing Directors

Ms. Duncan thanked outgoing Directors Gail Kline and Judy Werner for their service and has thank you gifts for them.

VII. Adjournment

With no other business, Mr. Matton made a motion to adjourn, Ms. Aschenbrenner seconded and the motion was passed with all in favor.

Submitted by: *Katriona Adams*
Assistant Registrar