



AMERICAN SADDLEBRED REGISTRY, INC.

**Tuesday, October 30, 2018 at 1:00 p.m. ET
Offices of Bingham Greenebaum Doll LLP,
Louisville, Kentucky**

MINUTES

ASR Directors Present: Susan Aschenbrenner, Sandra Currier, Elisabeth Goth, Gail Kline, Emily Lee, Scott Matton, Donna Pettry-Smith, Karen Richardson, Matt Shiflet (by phone), Kim Skipton, Jenny Taylor and Owen Weaver.

ASHA Directors Present (who are not on the ASR Board): David Mount ASHA President, Joe O'Brien and Candi Aversenti.

Others Present: Allen Norvell, Blue & Co., LLC, Lisa Duncan Registrar, Katriona Adams Assistant Registrar, Terri Dolan ASHA Executive Director, Cynthia Lowell Communications and Tech Manager and Don Quaid Accountant.

I. Roll Call/Establish Quorum/Adopt Sturgis Rules of Order/Consider Agenda

Ms. Currier called the meeting to order at 1:15 p.m. ET and called the roll. A quorum was present. A motion was made to adopt Sturgis Rules of Order, the motion was seconded and was passed with all in favor. A motion was made to adopt the agenda, the motion was seconded and was passed with all in favor.

II. Registry Committee Recommendations

a. Proposed Bylaw Changes

Ms. Goth, Chair of the Bylaws Committee and Mr. Sarver, Chair of the Registry Committee, explained the following proposed bylaws changes which were approved by both the Bylaws and the Registry Committees:

ARTICLE III DIRECTORS

Meetings of the ASR Board of Directors shall be conducted according to ~~Sturgis' the~~ Standard Code of Parliamentary Procedure **by the American Institute of Parliamentarians (2012), Alice Sturgis.**

All regular meetings of the ASR Board of Directors shall be held in person, and telephonic participation in a regularly scheduled **in person** meeting shall be permitted only in special circumstances and upon prior approval of the ~~President~~ **ASR Board of Directors.** Special meetings of the ASR Board of Directors may be held either in person

or by telephone conference or other means of communication whereby all Directors participating in the meeting can hear each other. Participation in a special meeting by telephone or other similar means (or participation in a regular meeting by telephone if approved by the ~~President~~ **ASR Board of Directors** as set forth above) shall constitute presence in person at such meeting.

ARTICLE IV OFFICERS

The officers of the Registry shall be a President, Vice President, Secretary, ~~Registrar~~, and Treasurer, each of whom, ~~except the Registrar~~, shall be elected by the ASR Board of Directors. ~~Any two or more offices may be held by the same person except the offices of President and Secretary.~~

Any officer may be removed by a **two third majority vote** of the ASR Board of Directors whenever in its judgment the best interests of the Registry will be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the officer so removed.

In the absence of the President or in the event of his or her death, ~~or disability inability~~, or refusal to act, the Vice President shall perform the duties of the President and when so acting shall have all the powers and be subject to all the restrictions imposed upon the President.

ARTICLE XI COMMITTEES

The Standing Committees of the Registry shall consist of the following:

Finance

Audit/Internal Controls

Futurities (KY and National)

Registry

State Futurities

Sport Horse

Breeders

Nominating

Naming

Diplomat of the Breed

After discussion, Ms. Skipton made a motion to approve the Bylaw Changes as presented, Mr. Matton seconded and the motion was passed with all in favor.

b. Proposed Rule Changes

Mr. Sarver explained a proposed rule change to Section III. Registration A. Eligibility and Requirements Part 11. to require photos for all online applications for registration. After discussion, Ms. Goth made a motion to approve the proposal with an amendment to read **“For any Application for Registration submitted online, four current color photographs, showing both sides, front and rear, must be submitted electronically to the Registry before the foal will be registered. The Registry reserves the right to reject any photos.”** Ms. Skipton seconded the motion which was passed with all in favor.

Ms. Duncan explained that the following rule change proposal originated with a request from the Equine Welfare Committee to eliminate the \$100 Identity Test Fee. This was referred to the Registry Committee for consideration and they agreed on a compromise and is proposing that the fee be reduced to \$75.

SECTION XI. FEES

Identity Test for an Exact Match of DNA Type	\$100.00
Identity Research Fee	\$75.00

(Registry makes no warranty horse will be identified)

After discussion, the Board decided that no action would be taken on this proposal. A suggestion was made to look at expanding the criteria for the Bruce Hanson American Saddlebred Welfare Grant Program and to ask people to make donations for Equine Welfare.

III. Audit Report

Mr. Allen Norvell of Blue & Co., LLC provided the Independent Auditors’ Draft Report for the year ending December 31, 2017. He explained Management’s Responsibility for the Financial Statements and the Auditors’ Responsibility as well as the Opinion which read: “In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Registry as of December 31, 2017 and 2016, and the results of its operations and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.” Mr. Norvell made some recommendations such as keeping the financials current and reviewing on a monthly basis and clarifying which expenses are shared with the Association. Mr. Norvell will work on recommendations on Futurities and Sweepstakes as requested by Ms. Dolan. Ms. Skipton made a motion to approve the Draft Audit Report as presented pending approval by the Audit Committee, Ms. Kline seconded and the motion was passed with all in favor.

IV. Treasurer’s Report

a. Raymond James Investment Report

Ms. Skipton, Treasurer, noted that we have a written Investment Report from Raymond James and asked that Directors contact her with any questions. A question was raised about our current investment policy. Ms. Skipton will contact Mr. Brewer and will work with Ms. Dolan on this.

b. Registry Financials Statements

Ms. Skipton was pleased to report that our financials are caught up thanks to Don Quaid, Accountant. She reported on a few items as follows: expenses for Kentucky State Fair have been moved to Conference and Travel, email and web page expenses are up a lot and will need to be researched in case it was misallocated, the process to pay part of the Executive Directors salary needs to be clarified. It was agreed that Ms. Duncan, Ms. Dolan and Mr. Quaid will meet on financials each month so that they know what the

variances are. Ms. Goth asked that Board meeting materials be sent at least a week prior to the meeting.

V. President's Report

a. Live Foal Report

Ms. Currier, President, reported that the live foal reports will be mailed in January. In addition to USPS mailings, information will also be sent via email, etc. and will be posted on the website. A manual calculation is being done now and we are paying \$2,700 to USEF to compile the necessary forms for future reports. After discussion, it was agreed that the reports will be based on the 2017 breedings reported on stallion service reports.

b. Amnesty

Ms. Currier noted that Registry staff drafted criteria for Amnesty to be offered in the spring of 2019. Notifications will be included in The Journal and will be sent by email, press releases, social media, etc.

c. Online Registrations and Stallion Service Reports

Ms. Lowell did a presentation for Online Registrations and Stallion Service Reports. She noted that 80% of the work is done and anticipates that staff will be able to start testing this week and field representatives will be able to test by the end of December.

d. Half Saddlebred module

Ms. Duncan reported that the Half Saddlebred module is up and running and some final glitches are being addressed.

e. Pending Registrations Report

Ms. Duncan reported that Registry staff has gone through the pending list report and has deleted some bad data and is now working through the rest of the list.

f. CH points for Working Western

Ms. Currier asked Mr. Matton to address a request to allow Working Western classes to count for CH points (Saddlebred Record points). He didn't anticipate any problems with this. After some discussion, Ms. Pettry-Smith made a motion that, pending approval of the ASHA Pleasure Disciplines Committee, any ASB Country Pleasure Working Western Classes count towards CH points effective December 1, 2018. Ms. Aschenbrenner seconded the motion which was passed with all in favor.

g. Microchipping

Ms. Currier noted that microchipping is becoming more uniform and she would like to appoint a committee to put a recommendation together for the Registry to start offering microchips to customers to buy possibly at a reduced rate. This will not be mandatory. Committee members are Cynthia Lowell, Terri Dolan, Lisa Duncan and Sandy Currier.

VI. Registrar's Report

a. Registry Statistics as of September 30, 2018

Ms. Duncan reported that the number of horses registered in 2018 by the end of September is 1,016 compared to 1,198 in 2017 which is down by 182. The number of transfers processed by the end of September 2018 is 1,964 compared to 2,019 in 2017 which is down by 55. It was noted that the financials as of the end of September are consistent compared to the 2017 financials.

b. Prize Programs

Ms. Duncan read a proposal from the Kentucky and National Futurities Committee to change the deadline for the ASR Futurities weanling payment and application deadline. After discussion, Ms. Goth made a motion to table this proposal and ask for more information, such as the intent of the proposals and other variables, Ms. Taylor seconded and the motion was passed.

c. First Time Breeder Program

Ms. Duncan provided some information on the First Time Breeders Program for 2014, 2016 and 2018. A discussion took place regarding breeding and the Board agreed that we need to have some basic information and articles available to new breeders.

d. Broodmare Hall of Fame

Ms. Duncan provided a list of the mares added to Broodmare Hall of Fame for 2018.

Callaway's Summer Rain

Owned by: Autumn Hills Farm LLC

Sonoran Select – 2 of 16, 5 Gaited Three Year Old (2004)

What About Phil – 2 of 19, 5 Gaited Show Pleasure Adult (2010)

Just Can't Get Enough – 1 of 8, 3 Gaited Two Year Old (2018)

Caraway

Owned by: Willowbank Farm

Caraway's New York Minute – 1 of 6, Fine Harness Amateur Ch (2011)

Careless Fortune – 2 of 16, 3 Gaited Country Pleasure Adult Ch (2010)

Quinella – 2 of 18, Western (2018)

Fluffernutter

Owned by: Meadowview Farm LLC

Enough Fluff – 1 of 11, Fine Harness 2 Year Old (2014)

Our Sweet Chocolate – 1 of 10, 5 Gaited Two Year Old (2013)

I Am What I Am – 1 of 9, 5 Gaited Junior (2018)

Go Baby Go

Owned by: Nancy Hawley

Two Gun Tommy – 2 of 9, Country Pleasure Driving (2018)

Let's Go Tango – 1 of 9, 3 Gaited Two Year Old (2015)

Best Show In Vegas – 2 of 8, Fine Harness Three Year Old (2014)

Heavenly Watch

Owned by: Elisabeth Goth LLC &/or Elisabeth M. Goth

Pluto – 1 of 17, 5 Gaited National Three Year Old Futurity (2002)
Undulata’s Nuttin’ But Net – 2 of 15, 3 Gaited Junior Exh. Ch (2018)
Cary’s Moonraker – 2 of 11, Fine Harness Ladies (2006)

My Prom Night

Owned by: Shawn Stachowski

The Light O’ Love – 2 of 8, 5 Gaited Amateur (2008)
Use Your Illusion – 1 of 10, 3 Gaited Ladies (2015)
Nirvana’s Lithium – 1 of 15, 3 Gaited Show Pleasure (2018)

CH Our Charming Lady

Owned by: Jack &/or Donna Finch

Sedgefield’s Mirror Image – 1 of 9, 3 Gaited Amateur (2018)
Sedgefield’s Never A Doubt – 2 of 8, 3 Gaited Three Year Old (2016)
Sedgefield’s Legacy – 2 of 10, Fine Harness Three Year Old (2017)

e. USDF All Breeds Awards

Ms. Duncan reported that we have four USDF All Breeds Award winners for 2018.

Second Level

Sir Earl Grey

Champion: 66.209%
Owner: Jeffrey Winton or James
Modica, Green Oaks, IL

Training Level

Hot Jammin’ Blues

Champion: 67.692%
Owner: Bethany Nehse
Livermore, CA

First Level

Sir Earl Grey

Champion: 65.666%
Owner: Jeffrey Winton or James
Modica, Green Oaks, IL

**Arrowhead's On The Prowl
(Shown as First Officer York)**

Reserve Champion: 67.609%
Owner: Marisa King
Urbandale, IA

VII. ASR Nominating Committee Report

Ms. Taylor, Chair of the ASR Nominating Committee, presented the committee report. The committee agreed to nominate three individuals for consideration for the two ASR At-Large seats. The Board voted by secret ballot and by text after which, Ms. Kline and Mr. Quaid reported that Missy Hughes Smith and Todd Graham have been elected to serve 3-year terms on the Registry Board starting in January 2019.

VIII. Other Business

A question was raised whether ASR can help fund some of the research discussed at the ASHA Board meeting. Ms. Goth suggested waiting until we see what is decided at the January Board meetings. Ms. Skipton asked Directors to email using Reply All when emailing regarding Board matters as sometimes responses do not reach all Directors.

IX. Executive Session

The Board went into Executive Session at 3:55 p.m. ET.

Submitted by: *Katriona Adams*
Assistant Registrar